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March 21, 2003

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Commissioner for Patents Washington, D.C. 20231

Hand Carry to Examiner Schwadron, R.B. Crystal Mall 1, 7th Floor Art Unit 1644

Re:

U.S. Utility Patent Application

Appl. No. 09/458,299; Filed: December 10, 1999

Inducing Cellular Immune Responses to HER2/neu Using Peptide and

Nucleic Acid Compositions

FIKES et al. Inventors:

Our Ref: 2060.0140000/EKS/HCC/M-M

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Sir:

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Transmitted herewith for appropriate action are the following documents:

- 1. Information Disclosure Statement;
- Form PTO-1449 twenty-six (26) pages, citing ninety (90) documents; 2.
- 3. Copies of cited documents AA1-AD1, AL1-AP1; AR1-AT1, AL2-AP2, AR2-AT2, AR3-AT3, AR4-AT4, AR5-AT5, AR6-AT6, AR7-AT7, AR8-AT8, AR9-AT9, AR10-AT10, AR11-AT11, AR12-AT12, AR13-AT13, AR14- AT14, AR15-AT15, AR16-AT16, AR17-AT17, AR18-AT18, AR19-AT19, AR20-AT20, AR21-AT21, AR22-AT22, AR23-AT23, AR24-AT24, AR25-AT25, and AR26:
- 4. First Supplemental Information Disclosure Statement;
- Form PTO-1449 five (5) pages, citing nineteen (19) documents; 5.

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- 6. Copies of cited documents AE1-AK1, AS26-AT26, AR27-AT27, AR28-AT28, AR29-AT29, and AR30; and
- 7. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

Yalenellalm

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Helene C. Carlson

Agent for Applicants

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Enclosures

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